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Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number HVWD-01003US0		Serial/Patent Number 09/757,872	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant/Patent Owner John S. Flowers et al.			
				Filing/Issue Date January 10, 2001		Group Art Unit 2131	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
ECF		5,892,903	04/06/99	Klaus	395	187.01	09/12/96
1		5,136,523	08/04/92	Landers	395	54	03/26/91
1		5,278,901	01/11/94	Shieh et al.	380	4	04/30/92
1		5,802,720	09/01/98	Bachr et al.	395	200.79	05/18/95
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Country	Class	Subclass	Trans- lation Yes No
OTHER DOCUMENTS (Include Author, Title, Date, Pertinent pages, etc.)							
ECF		Rebecca Bace, Infidel, Inc. "An Introduction to Intrusion Detection and Assessment", 1999, 38 pages.					
1		Fyodor, "Remote OS detection via TCP/IP Stack FingerPrinting", October 18, 1998, 10pages.					
Examiner	ECF			Date Considered 22 JUL '04			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
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#5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Applicant N.	09/757,872
				Filing Date	January 10, 2001
				First Named Inventor	John S. Flowers <i>et al.</i>
				Art Unit	2131
				Examiner Name	Gail O. Hayes
Sheet	1	of	3	Attorney Docket Number	23327-06893

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Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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	A2	US- 5,796,942	08-18-1998	Esbensen
	A3	US- 5,798,706	08-25-1998	Kraemer et al.
	A4	US- 5,892,903	04-06-1999	Klaus
	A5	US- 5,931,946	08-03-1999	Terada et al.
	A6	US- 5,958,015	09-28-1999	Dascalu
	A7	US- 5,991,881	11-23-1999	Conklin et al.
	A8	US- 6,185,689 B1	02-06-2001	Todd, Sr. et al.
	A9	US- 6,263,444 B1	07-17-2001	Fujita
	A10	US- 6,279,113 B1	08-21-2001	Vaidya
	A11	US- 6,282,546 B1	08-28-2001	Glechauf et al.
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	A17	US- 6,343,362 B1	01-29-2002	Placek et al.
	A18	US- 6,347,376 B1	02-12-2002	Attwood et al.
	A19	US- 6,359,557 B2	03-19-2002	Bilder
	A20	US- 6,363,489 B1	03-26-2002	Comay et al.
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	A22	US- 6,408,391 B1	06-18-2002	Huff et al.
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
ECT	B1	WO 01/31420 A2	05-03-2001	Visa International Service Association	
✓	B2	WO 02/45380 A2	06-06-2002	Lancop, Inc.	

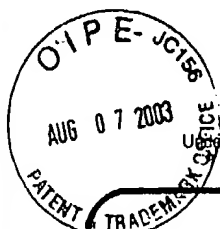
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	09/757,872
				Filing Date	January 10, 2001
				First Named Inventor	John S. Flowers
				Art Unit	2134
				Examiner Name	Gregory Allan Morse
Sheet	1	of	1	Attorney Docket Number	23327-06893

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Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
ECT	A1	US-6,415,321 B1	07/ /2002	Gleichauf et al.
	A2	US-6,269,447 B1	07/31/2001	Maloney et al.
	A3	US-5,699,403	12/16/1997	Ronnen
	A4	US-5,557,742	09/17/1996	Smaha et al.
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
ECT	C1	"Microsoft Computer Dictionary Fifth Edition," 2002, 6 pages		
↓ ↓ ↓ ↓	C2	ANDERSON, TERESA, "Hunting for Holes," Security Management, Arlington, April 1996, 2 pages		
	C3	BREYFOGLE, STACEY, "Don't Stop at Your Servers," Software Magazine, Englewood, January 1998, pp. 1-3		
	C4	JOHNSON, JOHNA TILL, "Simulated Attack for Real Network Security," Data Communications, November 2, 1995, pp 31-32		

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				Filing Date	January 10, 2001
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				Art Unit	2134
				Examiner Name	Gregory Allan Morse
Sheet	1	of	1	Attorney Docket Number	23327-06893

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ECF	A	US 6,609,205 B1	08/19/2003	Bernhard et al.
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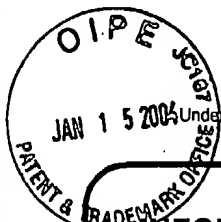
OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS			
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↓		US-6,088,804	07-11-2000	Hill, et al.
↓		US-6,006,328	12-21-1999	Drake
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ECT		RISTENBATT, MARTIN P., Methodology For Network Communication Vulnerability Analysis, IEEE, 1988, pp. 493-499.			
↓		SKAGGS, B., et al., Network Vulnerability Analysis, IEEE, 2002, pp. 493-495.			
↓		THATCHER, MICHELLE, Keeping Your Technology Secure, Technology & Learning, April 2002, pp. 38, 40, 42 and 44.			

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